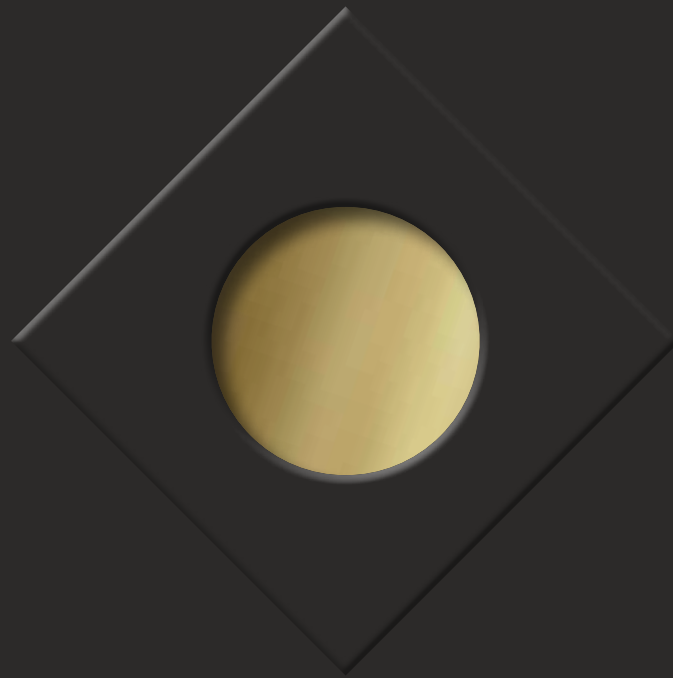


# Level 3 Certificate

Introduction to Financial Trading



BP PRIME

# Introduction to BP Prime

BP Prime Education is the online portal to globally recognised qualifications, certified by UK Government and some of the leading education bodies. Whether its professional development, contributing to University credits or taking your 1st steps into financial trading, BP Prime Education offers a world-class online experience.

All you need is access to the internet and you train anywhere in the world. Our course modules are available in 28 languages, with all exams being taken in English.

## Trading with BP Prime

Active traders choose BP Prime for security, speed and service. No matter whether this is one of our three live accounts or our risk-free demo platform, BP Prime offers a trading experience to a variety of traders and trading styles.



Cryptocurrencies



Commodities



Forex



CFDs

## Accounts Types

### Standard

Open a standard account with a minimum 100 Euro

### Pro

Trade major currency pairs from 0.8 pips & no additional dealing commission

### Prime

Expert trading control & analysis made for high volume & algorithm traders with ECN Raw spreads

BP PRIME, opening the window to a world class financial platform.

“I’ve always been interested in making money by trading. But never had time to sit in a classroom. I was able to complete the course and exam from home and build confidence at my own pace. Perfect for me!”

Graham, 35, London

# Level 3 Certificate

Introduction to Financial Trading

The level 3 certificate, the Introduction to Financial Trading, has been designed for new and aspirational traders. Using the BP Prime Demo account, you will gain real market experience, whilst learning and understanding essential terminologies, product options and patterns. There are no formal entry requirements for the course, but it is advised to have some understanding of the financial markets and to be comfortable with maths. All participants must be over the age of 16. To ensure participants are achieving the required level of experience, each participant is required to submit a portfolio of evidence indicating that of the learning outcomes have been met. This is why it is recommended to download the BP Prime risk-free demo account. BP Prime prides itself on offering robust courses, with strict and secure measured that ensure each participant is applying the knowledge obtained within each course.

The level 3 certificate offers theoretical and practical application of trading principles, such as technical analysis. It will comprehensively cover areas such as identifying specific price patterns, technical analysis indicators and oscillators, which will become the bedrock of your trading knowledge.

All participants will become educated in specific areas of risk and money management techniques which will contribute to the preservation of trading capital and potential long-term success. Although there are no formal entry requirements, it is advantageous to have an understanding of the financial markets and a reasonable level of maths ability and an analytical mindset.

<b>Price of the course</b>	£1300 + VAT	<b>Avg. Completion time</b>	6 Weeks
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### Age restrictions

There are age limits attached to learners undertaking this qualification as this qualification is not approved for learners under the age of 16.


### Progression opportunities

By completing the level 3 certificate Introduction to Financial Trading, participants can seek employment within the financial services sector or complete the following Skillsfirst qualifications:

- Level 3 Diploma in Management

### Recognised by:

 45 CPR Credits

 The Office of Qualifications & Examinations Regulation

## Course Content

The level 3 course is broken down into three core modules:

Principles of Financial Trading (FT5)  
Principles of Financial Planning & Cash Flow in Financial Trading (FT6)  
Understanding Financial Trading Techniques (FT7)

These modules will comprehensively cover the following topic areas.

### PRINCIPLES OF FINANCIAL TRADING

- ◆ Role of the Financial trader
- ◆ Mutual Funds
- ◆ Investment Banks
- ◆ Institutional Investors
- ◆ Market Makers
- ◆ Arbitrage

### WHAT ARE FINANCIAL MARKETS

- ◆ Risk
- ◆ Liquidity
- ◆ Futures Markets
- ◆ Spread Betting
- ◆ CFD's
- ◆ Supply and Demand
- ◆ Inflation
- ◆ Fiscal Policy
- ◆ Central Banks

### TRADED FINANCIAL PRODUCTS

- ◆ Trading Exchanges
- ◆ Bond Markets
- ◆ Price and Yield
- ◆ Forex Markets
- ◆ Currency trading between Banks
- ◆ Commodities
- ◆ Options
- ◆ STIRS
- ◆ Libor
- ◆ Cryptocurrencies

### TRADING PATTERNS AND ANALYSIS

- ◆ Trends
- ◆ Charts
- ◆ Market Profile
- ◆ Support and Resistance
- ◆ Fibonacci Numbers
- ◆ Bollinger bands
- ◆ Oscillators
- ◆ Divergence
- ◆ Moving Averages
- ◆ Volume Indicators

### TRADING FINANCIAL PRODUCTS

- ◆ Types of Trading
- ◆ Spreads/Hedging
- ◆ Butterfly Spreads
- ◆ GDP
- ◆ Major Economic Indicators
- ◆ Bond Auctions
- ◆ Central bank Speeches
- ◆ Daily Trading Sheet

### PSYCHOLOGY

- ◆ Market Psychology
- ◆ Market sentiment
- ◆ Crowd behaviour
- ◆ Behavioural Finance
- ◆ Market inefficiencies
- ◆ Trader Psychology
- ◆ Emotional intelligence
- ◆ Trading emotions
- ◆ Performance strategies
- ◆ Trade execution

### FINANCIAL MANAGEMENT

- ◆ Investment Performance and Risk
- ◆ Leverage
- ◆ Margin
- ◆ Self Employed Day Traders
- ◆ Stopping Out
- ◆ Business Tax
- ◆ Stamp Duty
- ◆ Transaction Tax

## Principles of Financial Trading (FT5)

The aim of this module is to provide the participant understand all of the facets and characteristic of a Financial Trader. This includes comprehensively covering the principles of financial markets, trading markets operations and trading psychology.

Note: all participants will be required to provide a portfolio of evidence indicating that they have met all the module outcomes and skills and knowledge requirements.

This is part of our quality assurance that all participants receive maximum value from the course.

### MODULE OUTCOMES

- ◆ Understand the role of a Financial Trader
- ◆ Understand the operation of financial markets
- ◆ Understand the operation of traded financial markets
- ◆ Understand the psychology of market trading
- ◆ Define the role of a trader
- ◆ Compare the differences between the common types of traders and investors
- ◆ Explain the significance of the different strategies used by traders
- ◆ Compare the benefits of the different algorithmic trading strategies
- ◆ Describe the economic influences on the financial market
- ◆ Compare the differences between the operation of the different financial markets
- ◆ Describe the basic functions associated with the operation of the financial markets
- ◆ Compare the differences between the cash market and the futures market
- ◆ Explain the significant differences between spread betting and a CFD (contract for difference)
- ◆ Compare the differences between the different types of exchanges
- ◆ Describe the operation of the different traded markets
- ◆ Evaluate the management of different risks within the different traded markets
- ◆ Describe the range of factors affecting pricing within the different traded markets
- ◆ Explain the use of market psychology in financial trading
- ◆ Explain the use of trader psychology in financial trading
- ◆ Evaluate the effectiveness of trader and market psychology in trading successfully

### ASSESSMENT CRITERIA

In order to submit the correct requirements to pass the level 3 course, participants will have to demonstrate at least ONE of aspect from each of the following sections:

#### TYPES OF TRADERS could include:

- ◆ Proprietary traders and firms
- ◆ Investment banks
- ◆ Market makers

#### TYPES OF TRADERS could include:

- ◆ Mutual fund
- ◆ Investment banks
- ◆ Retail investments
- ◆ Institutional investments

#### STRATEGIES could include:

- ◆ Technical analysis
- ◆ Market psychology
- ◆ Supply and demand
- ◆ Other traders

#### CATEGORIES OF ALGORITHMIC TRADING could include:

- ◆ Market making
- ◆ Order execution
- ◆ Arbitrage

#### BASIC FUNCTIONS ASSOCIATED WITH OPERATION OF THE FINANCIAL MARKETS could include:

- ◆ Borrowing and lending
- ◆ Price determination
- ◆ Information aggregation and co-ordination
- ◆ Risk sharing
- ◆ Liquidity
- ◆ Efficiency

#### BANK AND OTHER FINANCIAL INSTITUTIONS could include:

- ◆ The Bank of England
- ◆ OECD (Organisation for Economic Co-Operation and Development)
- ◆ IMF (international Monetary Fund)

#### TYPES OF EXCHANGES could include:

- ◆ Trading exchange
- ◆ Electronic exchange
- ◆ Stock exchange

#### TYPES OF RISKS could include:

- ◆ Credit
- ◆ Re-investment
- ◆ Inflation
- ◆ Liquidity
- ◆ Market

## Principles of Financial Planning & Cash Flow in Financial Trading (FT6)

The aim of this module is to understand the principles involved with financial management and forecasting financial targets within financial trading activities. The participant will also learn how business tax and VAT impact on financial trading operations.

### MODULE OUTCOMES

By completing this module the participant will be able to:

- ◆ Understand the principles of financial management in financial trading
  - 1) Describe the benefits of financial management
  - 2) Explain how different financial strategies can maximise a trading account
- ◆ Understand the principles of forecasting financial targets in financial trading
  - 1) Describe how profit and loss can impact on trading targets
  - 2) Compare the different measuring techniques used to estimate risks and returns
  - 3) Calculate the rates of return on a range of investments
- ◆ Know how business tax and VAT impact on financial trading
  - 1) Describe the scope of the taxes which apply to UK residents regarding the purchasing and selling of shares
  - 2) Explain the core purpose of Financial Transaction Tax within financial trading
  - 3) Describe the features of the different types of financial transaction taxation

It is recommended that **15 hours** should be allocated for this module. This may be on a fulltime or part-time basis.

### ASSESSMENT CRITERIA

In order to submit the correct requirements to pass the level 3 course, participants will have to demonstrate at least ONE of aspect from each of the following sections:

#### TECHNIQUES USED TO ESTIMATE RISKS AND RETURNS could include:

- ◆ CAPM (capital asset pricing model)
- ◆ Sharpe ratio
- ◆ Jensen measure

#### TAXES WHICH APPLY TO UK RESIDENTS could include:

- ◆ Stamp duty
- ◆ Financial transaction tax (FTT)

#### TYPES OF FTT could include:

- ◆ Currency transaction tax
- ◆ Securities transaction tax
- ◆ Bank transaction tax
- ◆ Automated payment transaction tax

## Understanding Financial Trading Techniques (FT7)

This module places more emphasis on exercising the theories, practises and strategies by analysing the markets and its associated trading patterns, whilst learning how to trade.

### MODULE OUTCOMES

There are two learning outcomes to this module. The learner will be able to:

- ◆ Understand how to analyse the market and trading patterns
  - 1) Compare the use of fundamental analysis and technical analysis to analyse the market and its trading patterns
  - 2) Describe the importance of having a limited number of technical indicators when developing a trading strategy
  - 3) Compare the benefits of using different types of charts to analyse the market and its trading patterns
  - 4) Explain how trends can impact on the analysis of the market and its trading patterns
- ◆ Understand how to trade financial products
  - 1) Compare and contrast the types of trading that occur in the financial markets
  - 2) Describe the different economic indicators that can influence trading behaviour
  - 3) Explain the correlation between the Daily Trading Sheet and the trading of financial products

It is recommended that **12 hours** should be allocated for this module. This may be on a fulltime or part-time basis.

### ASSESSMENT CRITERIA

In order to submit the correct requirements to pass the level 3 course, participants will have to demonstrate at least ONE of aspect from each of the following sections:

#### DIFFERENT TYPES OF CHARTS could include:

- ◆ Line charts
- ◆ Bar Charts
- ◆ Candlestick charts
- ◆ Market profile charts

#### TYPES OF TRENDS should include:

- ◆ Uptrend
- ◆ Downtrend
- ◆ Sideways trend

#### TYPES OF TRADING should include:

- ◆ Outright
- ◆ Spread

#### ECONOMIC INDICATORS could include:

- ◆ GDP (gross domestic product) index
- ◆ Employment and wages index
- ◆ Personal income and consumption
- ◆ Producer and consumer price index
- ◆ Consumer confidence index
- ◆ Retail sales index
- ◆ European economic indicators
- ◆ House price index

# Contact Us

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## Stay In Touch

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## Disclaimer

BP PRIME is a trading name of Black Pearl Securities Limited which is authorised and regulated by the Financial Conduct Authority, Financial Services Register Number 688456.  
As with all investing, your capital is at risk. FX and CFDs are leveraged products that can result in losses exceeding your deposit. They are not suitable for everyone so please ensure you fully understand the risks involved.

bprime.com

# FAQ

**Question 1** Are all of the courses, exams, course content and research material just online?

**Answer** Correct, we want you to learn at your own pace. All materials, exams and certificates are available online

**Question 2** How many hours should I commit each week?

**Answer** The average completion time is between 4 and 6 weeks. You would have to complete an average of 3 hours per week for 6 weeks to achieve the average completion time.

**Question 3** Can I study anywhere in the world?

**Answer** Yes. Providing you have WiFi to log into bprimeeducation.com you can access the course content and exams. Remember the course content is available in 28 languages but all exams must be taken in English.

**Question 4** Why are the final exams in English?

**Answer** In order for the exam bodies to verify your results, they must be in English. These qualifications are globally recognised qualification that is verified by the UK governing exam bodies. This is why the portfolio evidence and exams must be submitted in English.



